

DATABASE THEORY

Lecture 11: Query Expressiveness

Markus Krötzsch Knowledge-Based Systems

TU Dresden, 16th May 2023

More recent versions of this slide deck might be available. For the most current version of this course, see https://iccl.inf.tu-dresden.de/web/Database_Theory/e

Review

First-Order Query Expressiveness

Queries and Their Expressiveness

Recall:

- Syntax: a query expression q is a word from a query language (algebra expression, logical expression, etc.)
- Semantics: a query mapping M is a function that maps a database instance I to a database table M(I)
- We only study generic queries, which are closed under bijective renaming (isomorphism of databases)

Definition 11.1: The expressiveness of a query language is characterised by the set of query mappings that it can express.

Given a query language **L**, a query mapping M is **L**-definable if there is a query expression $q \in \mathbf{L}$ such that M[q] = M.

We can study expressiveness for all query mappings over all possible databases, or we can restrict attention to a subset of query mappings or to a subset of databases.

Boolean Query Mappings

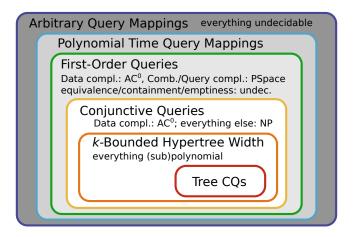
A Boolean query mapping is a query mapping that returns "true" (usually a table with one empty row) or "false" (usually an empty table).

Every Boolean query mapping

- defines set of databases for which it is true
- defines a decision problem over the set of all databases
- could be decidable or undecidable
- if decidable, it may be characterised in terms of complexity
 Note: the "complexity of a mapping" is always "data complexity," i.e., complexity w.r.t. the size of the input database; the mapping defines the decision problem and is fixed.

Expressivity vs. Complexity

All query mappings that can be expressed in first-order logic are of polynomial complexity, actually in AC⁰.



The Limits of FO Queries

Are there polynomial query mappings that cannot be expressed in FO?

The Limits of FO Queries

Are there polynomial query mappings that cannot be expressed in FO? → yes!

We already knew this from previous lectures:

- We learned that $AC^0 \subset NC^1 \subseteq ... \subseteq P$
- Hence, there is a problem *X* in NC¹ that is not in AC⁰
- Therefore, the corresponding query mapping M_X is not FO-definable

 $AC^0 \subset NC^1$ was first shown for the problem X = PARITY:

- Input: finite relational structure *I*
- Output: "true" if *I* has an even number of domain elements

The original proof is specific to this problem [Ajtai 1983].

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Yes, many.

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Strong evidence from complexity theory:

• If any P-complete problem *X* were FO-definable,

Yes, many.

Strong evidence from complexity theory:

- If any P-complete problem *X* were FO-definable,
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- If any P-complete problem *X* were FO-definable,
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- and then solved in AC⁰,

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Strong evidence from complexity theory:

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- hence every problem in P could be solved in LogSpace,

Yes, many.

Strong evidence from complexity theory:

- If any P-complete problem *X* were FO-definable,
- then every problem in P could be LogSpace-reduced to X
- and then solved in AC⁰.
- hence every problem in P could be solved in LogSpace,
- that is, P = L.
- Most experts do not think that this is the case.

Therefore, one would expect all P-hard and similarly all NL-hard problems to not be FO-definable.

→ How can we see this more directly?

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→ Find an FO query that expresses the query mapping

How to show that a query mapping is **not** FO-definable?

- → Not so easy . . . important tools:
 - Ehrenfeucht-Fraïssé games
 - Locality theorems

Ehrenfeucht-Fraïssé Games

A method for showing that certain finite structures cannot be distinguished by certain FO formulas

General idea:

- ullet A game is played on two databases ${\mathcal I}$ and ${\mathcal J}$
- There are two players: the Spoiler and the Duplicator
- The players select elements from I and $\mathcal J$ in each round
- Spoiler wants to show that the two databases are different
- Duplicator wants make the databases appear to be the same

We will always play on finite structures without constant symbols (remember that one can simulate constants by unary relations with one row)

Playing One Run of an EF Game

A single run of the game has a fixed number *r* of rounds

Spoiler starts each round, and Duplicator answers:

- Spoiler picks a domain element from $\mathcal I$ or from $\mathcal J$
- Duplicator picks an element from the other database (\mathcal{J} or \mathcal{I})
- \sim One element gets picked from each $\mathcal I$ and $\mathcal J$ per round
- → Run of game ends with two lists of elements:

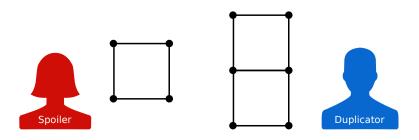
$$a_1, \ldots, a_r \in \Delta^I$$
 and $b_1, \ldots, b_r \in \Delta^J$

Duplicator wins the run if:

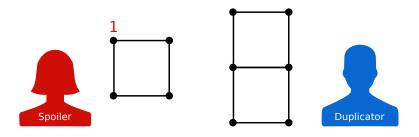
- For all indices i and j, we have $a_i = a_j$ if and only if $b_i = b_j$.
- For all lists of indices i₁,..., i_n and n-ary relation names R, we have ⟨a_{i1},...,a_{in}⟩ ∈ R^I if and only if ⟨b_{i1},...,b_{in}⟩ ∈ R^I.

Otherwise Spoiler wins the run.

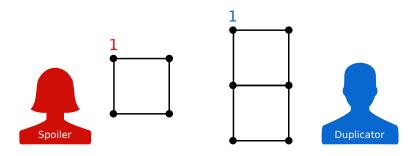
[&]quot;The substructures induced by the selected elements are isomorphic"



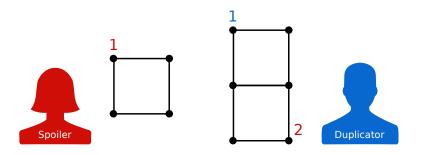
- edges denote a bi-directional binary predicate
- all edges are the same predicate



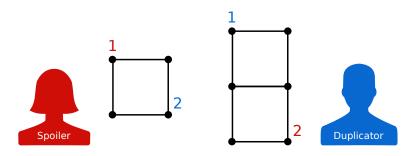
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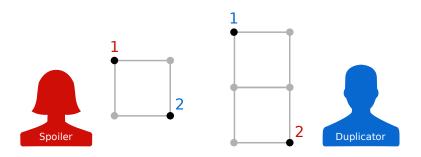
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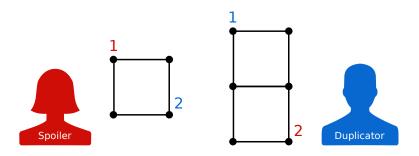
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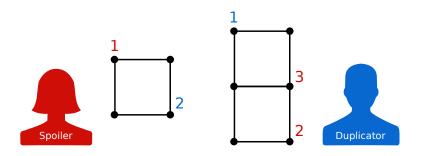
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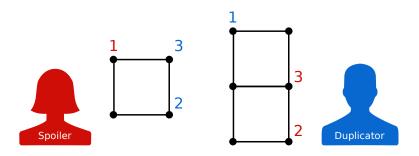
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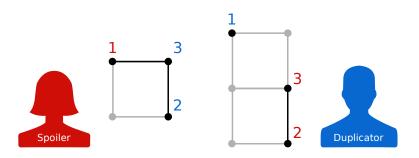
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Winning the EF Game

The game is won by whoever has a winning strategy:

A player has a winning strategy if he/she can make sure that he/she will win, whatever the other player is doing.

In other words:

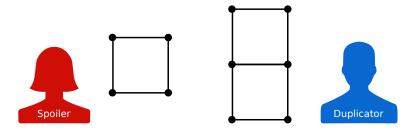
- Duplicator wins if he can duplicate any move that the spoiler makes.
- Spoiler wins if she can spoil any attempt to duplicate her moves.

We write $I \sim_r \mathcal{J}$ if Duplicator wins the *r*-round EF game on I and \mathcal{J} .

Observation: given enough moves, the spoiler will always win, unless the structures are isomorphic

Example

Who wins the 2-round game? Who wins the 3-round game?



- edges denote a bi-directional binary predicate
- all edges are the same predicate

Quantifier Rank

EF games characterise expressivity of FO formulae based on the nesting depth of quantifiers:

Definition 11.2: The quantifier rank of a FO formula is the maximal nesting level of quantifiers within the formula.

Example 11.3:

- A formula without quantifiers has quantifier rank 0
- $\exists x.(C(x) \land \forall y.(R(x,y) \rightarrow x \approx y) \land \exists v.S(x,v))$ has quantifier rank 2

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Definition 11.4: We write $I \equiv_r \mathcal{J}$ if I and \mathcal{J} satisfy the same FO sentences of rank r (or less).

Significance of EF Games

Theorem 11.5: For every r, I and \mathcal{J} , the following are equivalent:

- $I \equiv_r \mathcal{J}$, that is, I and \mathcal{J} satisfy the same FO sentences of rank r (or less).
- $I \sim_r \mathcal{J}$, that is, the Duplicator wins the *r*-round EF game on I and \mathcal{J} .

Therefore, the following are equivalent:

- The query mapping *M* is FO-definable
- There is an FO sentence φ that defines M
- There is a number r such that, for every $\mathcal I$ accepted by M and every $\mathcal J$ not accepted by M, the Spoiler wins the r-round EF game on $\mathcal I$ and $\mathcal J$

We outline the proof for the direction that is more important to us:

Lemma 11.6: For every r, we find $\sim_r \subseteq \equiv_r$.

Proof: We show the contrapositive: if $I \not\equiv_r \mathcal{J}$ then $I \not\sim_r \mathcal{J}$.

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We sketch the idea for the case that φ_r is in prenex normal form $\varphi_r = Q_1 x_1 \dots Q_r . x_r . \psi$ with $Q_i \in \{\exists, \forall\}$ and ψ a quantifier-free formula:

• Then $\neg \varphi_r$ is equivalent to $\bar{Q}_1 x_1 \dots \bar{Q}_r x_r \neg \psi$, where $\bar{\exists} = \forall$ and $\bar{\forall} = \exists$

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- Spoiler will enforce a selection of elements $a_1, \ldots, a_r \in \Delta^{\mathcal{I}}$ and $b_1, \ldots, b_r \in \Delta^{\mathcal{I}}$, such that, after i steps of the game, $\mathcal{I}, \{x_1 \mapsto a_1, \ldots, x_i \mapsto a_i\} \models \mathsf{Q}_{i+1}x_{i+1} \ldots \mathsf{Q}_rx_r.\psi$ and $\mathcal{J}, \{x_1 \mapsto b_1, \ldots, x_i \mapsto b_i\} \not\models \mathsf{Q}_{i+1}x_{i+1} \cdots \mathsf{Q}_rx_r.\psi$ (*)

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 - Property (*) holds initially (i = 0) by assumption.
 - In step i+1, if $Q_{i+1} = \exists$, Spoiler selects $a_{i+1} \in \Delta^I$ such that I, $\{x_1 \mapsto a_1, \dots, x_{i+1} \mapsto a_{i+1}\} \models Q_{i+2}x_{i+2} \dots Q_rx_r.\psi$ this exists because of (*).

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 - Any choice b_{i+1} of Duplicator will be such that $\mathcal{J}_1\{x_1 \mapsto b_1, \dots, x_{i+1} \mapsto b_{i+1}\} \not\models Q_{i+2}x_{i+2} \dots Q_rx_r.\psi$, since $\bar{Q}_{i+1} = \forall$.

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 - The case $Q_{i+1} = \forall$ is similar: now Spoiler selects b_{i+1} .

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Proof (continued): Therefore, by (*), after r rounds we have selected elements $a_1, \ldots, a_r \in \Delta^{\mathcal{I}}$ and $b_1, \ldots, b_r \in \Delta^{\mathcal{I}}$, such that $\mathcal{I}, \{x_1 \mapsto a_1, \ldots, x_r \mapsto a_r\} \models \psi$ and $\mathcal{I}, \{x_1 \mapsto b_1, \ldots, x_r \mapsto b_r\} \not\models \psi$.

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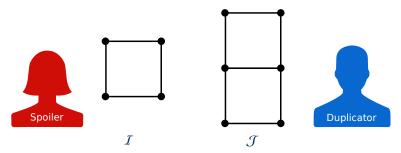
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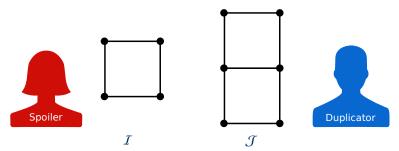
The idea can be generalised to formulae φ_r that are not in prenex normal form (by interleaving the choice of the quantifier and the evaluation of the formula)

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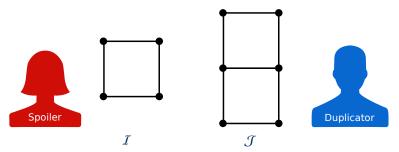


Which formula distinguishes the two structures?

For example: $\varphi_3 = \exists x. \exists y. \forall z. r(x, z) \leftrightarrow r(y, z)$

- $I \models \varphi_3$
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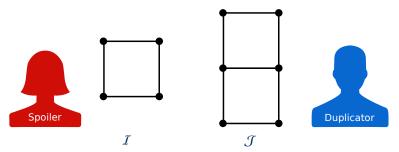
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The formula corresponds to 3-move a winning strategy for Spoiler:

- first select opposing corners in I
- ullet then select an element in ${\mathcal J}$ that neighbours exactly one of the elements selected by Duplicator

Using EF Games to Show FO-Undefinability

How to show that a query mapping M can not be FO-defined:

- Let C_M be the class of all databases recognised by M
- Find sequences of databases $I_1, I_2, I_3, \ldots \in C_M$ and databases $\mathcal{J}_1, \mathcal{J}_2, \mathcal{J}_3, \ldots \notin C_M$, such that $I_i \sim_i \mathcal{J}_i$

 \sim for any formula φ (however large its quantifier rank r), there is a counterexample $\mathcal{I}_r \in \mathcal{C}_M$ and $\mathcal{J}_r \notin \mathcal{C}_M$ that φ cannot distinguish

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 \sim for any formula φ (however large its quantifier rank r), there is a counterexample $I_r \in C_M$ and $\mathcal{J}_r \notin C_M$ that φ cannot distinguish

Problems:

- How to find such sequences of I_i and \mathcal{J}_i ?
 - → No general strategy exists
- Given suitable sequences, how to show that $I_i \sim_i \mathcal{J}_i$?
 - → Can be difficult, but doable for some special cases

Expressiveness on Linear Orders

Let's look at some very simple structures:

Definition 11.7: A structure I is a linear order if it has a single binary predicate \leq interpreted as a total, transitive, reflexive and asymmetric relation.

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Example 11.8: Consider the following structures:

 $\mathcal{L}_6: 1 \le 2 \le 3 \le 4 \le 5 \le 6$

 $\mathcal{L}_7: 1 \le 2 \le 3 \le 4 \le 5 \le 6 \le 7$

Spoiler can win the 3-round EF game as follows:

Spoiler plays 4 in \mathcal{L}_7

Duplicator plays 4 in \mathcal{L}_6 : Spoiler plays 6 in \mathcal{L}_7

Duplicator plays 5 in \mathcal{L}_6 : Spoiler plays 5 in \mathcal{L}_7 and wins

Duplicator plays 6 in \mathcal{L}_6 : Spoiler plays 7 in \mathcal{L}_7 and wins

Duplicator plays 3 in \mathcal{L}_6 : symmetric game (flipped horizontally)

Expressiveness on Linear Orders

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Example 11.9: Consider the following structures:

 $\mathcal{L}_7: 1 \le 2 \le 3 \le 4 \le 5 \le 6 \le 7$ $\mathcal{L}_8: 1 \le 2 \le 3 \le 4 \le 5 \le 6 \le 7 \le 8$

Spoiler cannot win the 3-round EF game:

Spoiler plays 4 in \mathcal{L}_8 : Duplicator plays 4 in \mathcal{L}_7

Spoiler plays 6 in \mathcal{L}_8 : Duplicator plays 6 in \mathcal{L}_7 ; spoiler cannot win Spoiler plays 7 in \mathcal{L}_8 : Duplicator plays 6 in \mathcal{L}_7 ; spoiler cannot win

Other cases similar: Spoiler never wins

Theorem 11.10: The following are equivalent:

- $\mathcal{L}_m \sim_r \mathcal{L}_n$
- either (1) m = n, or (2) $m \ge 2^r 1$ and $n \ge 2^r 1$

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Proof: For the \implies direction, we show the contrapositive:

- If m < n and $m < 2^r 1$, then $\mathcal{L}_m \not\sim_r \mathcal{L}_n$
- If n > m and $n < 2^r 1$, then $\mathcal{L}_m \not\sim_r \mathcal{L}_n$

We define a winning strategy for the spoiler for the first case (the other is symmetrical).

Outline of the spoiler strategy:

- The spoiler always picks from the longer order \mathcal{L}_n
- The spoiler plays on an active interval of \mathcal{L}_n , initially the whole linear order
- In each step, the spoiler selects the middle point of the active interval
- Depending on the response by the duplicator, the spoiler updates the active interval to be the first or the second half of the previous interval

Proof (ctnd.): Detailed strategy of the spoiler:

- Initialise the spoiler's active interval (ℓ_n, u_n) with $\ell_n := 0$ and $u_n := n + 1$
- Initialise the duplicators's active interval (ℓ_m, u_m) with $\ell_m := 0$ and $u_m := m + 1$
- In each step:
 - The spoiler selects the central point $c := \ell_n + \lceil (u_n \ell_n)/2 \rceil$
 - The duplicator answers by selecting some point d
 - If $d \ell_m < u_m d$, then we update $u_n := c$ and $u_m := d$; else if $d \ell_m \ge u_m d$, then we update $\ell_n := c$ and $\ell_m := d$

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Analysis:

- To have a chance of winning, the duplicator must always select a d with $\ell_m < d < u_m$ (not hard to see, since any non-initial value of ℓ_m and u_m comes from a previous selection round, and relative positions with respect to previously selected elements must be preserved)
- If an interval contains $2^j 1$ elements, then selecting a center c and splitting the rest in half leads to a new active interval of size $(2^j 2)/2 = 2^{j-1} 1$
- Hence, after *i* rounds, the spoiler's active interval has size $\geq 2^{r-i} 1$
- Similarly, the duplicator's active interval after i rounds is $< 2^{r-i} 1$
- Therefore, after r-1 rounds, the spoiler's active interval is $\geq 2^{r-r+1}-1=1$, while the duplicator's is $< 2^{r-r+1}-1=1$, i.e., empty the duplicator looses

Theorem 11.11: The following are equivalent:

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Proof: It remains to show the \iff direction.

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Proof: It remains to show the \leftarrow direction.

- If m = n, the duplicator wins by selecting the same numbers in each round
- If $m \ge 2^r 1$ and $n \ge 2^r 1$, then the duplicator can play the following strategy:
 - If the spoiler selects a previously selected element, the duplictor does the same
 - If the spoiler selects in round i a new element a on \mathcal{L}_x ($x \in \{n, m\}$), we can again find an active interval (ℓ_x , u_x) where $\ell_x = \max\{0, a' \mid a' < a \text{ previously selected on } \mathcal{L}_x\}$ and $u_x = \min\{x + 1, a' \mid a' > a \text{ previously selected on } \mathcal{L}_x\}$
 - The active interval on \mathcal{L}_x corresponds to an active interval (ℓ_y, u_y) on \mathcal{L}_y $(y \in \{m, n\}, y \neq x)$ as before, using corresponding selected end points or the initial boundaries as in (ℓ_x, u_x)
 - By similar analysis as before, the duplicator can select an element b with $\ell_v < b < u_v$ such that either $b \ell_v = a \ell_x < 2^{r-i} 1$ or $b \ell_v \ge 2^{r-i} 1$

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 - If the spoiler selects a previously selected element, the duplictor does the same
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 - By similar analysis as before, the duplicator can select an element b with $\ell_v < b < u_v$ such that either $b \ell_v = a \ell_x < 2^{r-i} 1$ or $b \ell_v \ge 2^{r-i} 1$
- \sim Since $2^{r-r+1} 1 \ge 1$, the active interval of the duplicator is nonempty whenever the active interval of the spoiler is non-empty \sim duplicator wins □

FO-Definability of Parity

Theorem 11.12: Parity is not FO-definable for linear orders, hence it is not FO-definable for arbitrary databases.

FO-Definability of Parity

Theorem 11.12: Parity is not FO-definable for linear orders, hence it is not FO-definable for arbitrary databases.

Proof:

- Suppose for a contradiction that Parity is FO-definable by some query φ .
- Let r be the quantifier rank of φ .
- Consider databases \mathcal{L}_m and \mathcal{L}_n with $m = 2^r$ and $n = 2^r + 1$.
- We know that $\mathcal{L}_m \sim_r \mathcal{L}_n$, and therefore $\mathcal{L}_m \equiv_r \mathcal{L}_n$.
- Hence, $\mathcal{L}_m \models \varphi$ if and only if $\mathcal{L}_n \models \varphi$.
- But $\mathcal{L}_m \in \text{Parity}$ while $\mathcal{L}_n \notin \text{Parity}$.
- Therefore, φ does not FO-define Parity. Contradiction.

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FO-Definability of CONNECTIVITY

The Connectivity problem over finite graphs is as follows:

Connectivity

- Input: A finite graph (relational structure with one binary relation "edge")
- Output: "true" if there is an (undirected) path between any pair of vertices

FO-Definability of CONNECTIVITY

The Connectivity problem over finite graphs is as follows:

Connectivity

- Input: A finite graph (relational structure with one binary relation "edge")
- Output: "true" if there is an (undirected) path between any pair of vertices

Theorem 11.13: Connectivity is not FO-definable.

Proof:

- Suppose for a contradiction that Connectivity is FO-definable using a query φ .
- We show that this would make Parity FO-definable on linear orders.
- For a linear order $\mathcal L$ with order predicate \leq , we define a finite graph $\mathcal G(\mathcal L)$ over a binary predicate "edge" such that $\mathcal G(\mathcal L)$ is connected if and only if $\mathcal L$ has an odd number of elements.

Defining a Graph From a Linear Order

We use abbreviations for the following FO formulas:

$$\operatorname{succ}[x,y] = (x \le y) \land \neg (y \le x) \land \qquad \qquad y \text{ is the successor of } x$$

$$\forall z. (z \le x \lor y \le z)$$

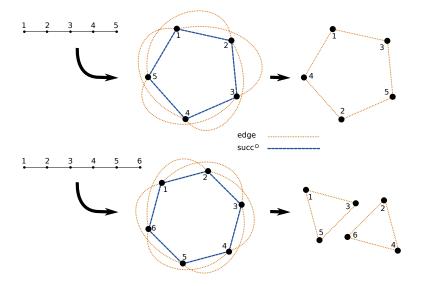
$$\min[x] = \forall z. x \le z \qquad \qquad x \text{ is the first element}$$

$$\max[x] = \forall z. z \le x \qquad \qquad x \text{ is the last element}$$

$$\operatorname{succ}^\circ[x,y] = \operatorname{succ}[x,y] \lor (\max[x] \land \min[y]) \qquad \text{circular version of succ}$$

$$\operatorname{edge}[x,y] = \exists z. (\operatorname{succ}^\circ[x,z] \land \operatorname{succ}^\circ[z,y]) \qquad \text{defining "edges" from the order}$$

Illustration: Graphs From Linear Orders



Markus Krötzsch, 16th May 2023

Completing the Proof

Observation:

The graph $\mathcal{G}(\mathcal{L})$ is connected if and only if \mathcal{L} has odd parity.

Finishing the proof:

Suppose for a contradiction that φ FO-defines Connectivity on graphs with predicate edge.

Then we can produce a formula φ' by replacing every atom of the form edge(s, t) in φ by the expression edge[s, t] (a formula over \leq only).

Then $\neg \varphi'$ FO-defines Parity on linear orders.

Since Parity is not FO-definable (not even on linear orders), no such φ can exist.

Beyond Linear Orders: Locality

Intuition: Duplicator can win an EF game if selected nodes have the same "neighbourhood"

→ let's define this for graphs (structures with binary predicates)

Definition 11.14: Consider a graph G. For a natural number $d \ge 0$ and a vertex v, the d-neighbourhood of v, N(v,d), is defined inductively:

- $N(v, 0) = \{v\}$
- $N(v, d+1) = N(v, d) \cup \{w \mid w \text{ is a direct neighbour of some } w' \in N(v, d)\}$

Two vertices v and w have the same d-type if the subgraphs $\mathcal{G}|_{N(v,d)}$ and $\mathcal{G}|_{N(w,d)}$ are isomorphic.

Two graphs are d-equivalent if, for every d-type, they have the same number of d-neighbourhoods of this type.

Locality and FO-definability

A special case of Gaifman's Locality Theorem of first-order logic:

Theorem 11.15: For every integer $r \ge 1$:

- if G_1 is 3^{r-1} -equivalent to G_2
- then $\mathcal{G}_1 \sim_r \mathcal{G}_2$, and thus $\mathcal{G}_1 \equiv_r \mathcal{G}_2$

→ Intuition: FO can only express local properties

How to show that a query mapping M can not be FO-defined:

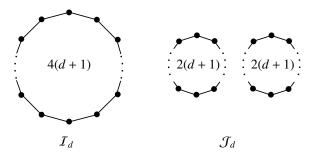
- Let C_M be the class of all databases recognised by M
- Find sequences of graphs $I_1, I_2, I_3, \ldots \in C_M$ and graphs $\mathcal{J}_1, \mathcal{J}_2, \mathcal{J}_3, \ldots \notin C_M$, such that I_i is i-equivalent to \mathcal{J}_i

 \sim for any formula φ (however large its quantifier rank r), there is a counterexample $\mathcal{I}_{3^{r-1}} \in \mathcal{C}_M$ and $\mathcal{J}_{3^{r-1}} \notin \mathcal{C}_M$ that φ cannot distinguish

CONNECTIVITY is not FO-definable (Proof 2)

Theorem 11.16: Connectivity is not FO-definable.

Proof: counterexample for quantifier rank r: set $d = 3^r$

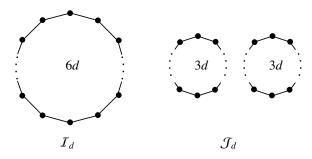


- the only d-type is a path of 2d + 1 nodes
- I_d and \mathcal{J}_d are d-equivalent

2-Colourability

Theorem 11.17: 2-COLOURABILITY is not FO-definable.

Proof: counterexample for quantifier rank r: set $d = 3^r$ (odd number)

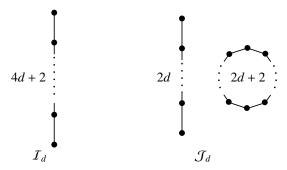


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- I_d and \mathcal{J}_d are d-equivalent

ACYCLICITY

Theorem 11.18: ACYCLICITY is not FO-definable.

Proof: counterexample for quantifier rank r: set $d = 3^r$



- d-types are paths of $\leq 2d + 1$ nodes
- I_d and \mathcal{J}_d are d-equivalent

Summary: Limits of FO-Queries

FO queries (and hence Relational Calculus) cannot express properties that require a "global" view:

- properties where one needs to follow paths
- properties where one needs to count elements

Remember Lecture 1?

"Stops at distance 2 from Helmholtzstr."

$$R_2 = \delta_{\mathsf{To} \to \mathsf{From}}(\pi_{\mathsf{To}}(\mathsf{Connect} \bowtie R_1))$$

What about all stops reachable from Helmholtzstr.?

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What about all stops reachable from Helmholtzstr.?

→ Not expressible in Relational Calculus

Yet, all examples we saw are in P

 \rightarrow Is there another query language that could help us?

Summary and Outlook

FO-queries (and thus CQs) cannot express even all tractable query mappings → FO-definability

Showing that a query is not FO-definable requires some creativity

→ Ehrenfeucht-Fraïssé Games as one approach

FO-queries can only express "local" properties

Possible proof techniques:

- Ehrenfeucht-Fraïssé Games
- Locality Theorems
- For more approaches see
 Chapter 17 of [Abiteboul, Hull, Vianu 1994]

Open questions:

If FO cannot express all tractable queries, what can?