



# COMPLEXITY THEORY

**Lecture 26: Interactive Proof Systems** 

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wore recent versions of ins since deck might be available. For the most current version of this course, see https://iccl.inf.tu-dresden.de/web/Complexity\_Theory/e

## Believing without proof?

"Real mathematicians should only believe in mathematical statements that they can prove themselves!"

### Is this a sensible statement?

In other words: Could a rational mathematician be convinced of a formal claim without having the slightest idea of how to prove it?

### Motivation: Provers and Verifiers

Recall: languages in NP admit short, easy-to-check membership certificates

### NP membership checking as an interaction of two parties:

- The Prover produces a certificate (proof of membership) that it claims to be valid
- The Verifier validates the certificate to decide upon acceptance

### Can we generalise this idea?

- A (untrusted) Prover tries to convince the Verifier of membership
- Verifier sceptically checks the Prover's arguments before making a decision
- The interaction might involve several rounds of communication
- The Prover might have unbounded computational power, but the Verifier should operate in P

For which languages can such a polytime Verifier ensure that it can be convinced of membership exactly for the words that really are in the language?

## Example: Graph Isomorphism

We consider (undirected) graphs over a set of numbered vertices  $1, 2, \dots, n$ .

Two graphs are isomorphic if one can be obtained from the other by a bijective renaming (permutation) of vertices.

#### **GRAPH ISOMORPHISM**

Input: Two graphs  $G_1$  and  $G_2$ .

Problem: Is  $G_1$  isomorphic to  $G_2$ ?

#### **Observations:**

- Graph Isomorphism is in NP (certificate: renaming)
- There are n! many potential permutations, so exhaustive checking requires exponential time

However, Graph Isomorphism is not known (or believed) to be NP-hard

## Graph Non-Isomorphism

#### GRAPH NON-ISOMORPHISM

Input: Two graphs  $G_1$  and  $G_2$ .

Problem: Is  $G_1$  not isomorphic to  $G_2$ ?

There does not seem to be a short certificate for this, but there is an interactive protocol:

**Protocol:** given non-isomorphic graphs  $G_1$  and  $G_2$ 

- Verifier: randomly select i ∈ {1,2}; randomly permute vertices of G<sub>i</sub> to obtain a new graph H; send H to the Prover
- Prover: determine which  $G_j$  ( $j \in \{1,2\}$ ) the graph H is isomorphic to; send j
- Verifier: accept if i = j, else reject

**Analysis:** The Prover can ensure acceptance for non-isomorphic graphs, but for isomorphic graphs it can only achieve acceptance with probability 0.5 (which can be reduced further by repeating the interaction several times)

## Zero-Knowledge Proofs

### Running the previous protocol is interestingly uninformative:

- The Verifier can be convinced that  $\langle G_1, G_2 \rangle \in \mathbf{Graph\ Non-Isomorphism}$
- But the Verifier learns nothing about the reasons
- In particular, the Verifier would not be able to prove this to anybody else

This is called a zero-knowledge proof.

Note: The mathematical property that characterises such proofs formally is that the Verifier could have produced the whole interaction all by itself, without the assistance of a Prover. This would not convince the Verifier, of course, but would not be distinguishable otherwise.

# Making interactive proofs formal (1)

The interaction can be viewed as a sequence of messages  $m_1, m_2, \ldots, m_k$ , followed by the Verifier declaring "accept" or "reject".

### The Verifier may consider the following:

- The input string w
- A string *r* of random bits (certificate-style view of random computation)
- A (partial) message history  $m_1 \# m_2 \# \cdots \# m_i$  of messages exchanged so far (odd-index messages are sent by Verifier, even-index messages by Prover)
- $\rightarrow$  Verifier can be described by a function  $V: \Sigma^* \times \Sigma^* \times \Sigma^* \to \Sigma^* \cup \{\text{accept}, \text{reject}\}\$

### The **Prover** may consider the following:

- The input string w
- A (partial) message history  $m_1 \# m_2 \# \cdots \# m_i$  of messages exchanged so far
- $\rightarrow$  Prover can be described by a function  $P: \Sigma^* \times \Sigma^* \to \Sigma^*$

# Making interactive proofs formal (2)

**Definition 26.1:** A word w is accepted by V and P with random string r if there is a sequence of messages  $m_1 \# m_2 \# \cdots \# m_k$  such that

- for all even  $i \ge 0$  we have  $m_{i+1} = V(w, r, m_1 \# \cdots \# m_i)$
- for all odd  $i \ge 0$  we have  $m_{i+1} = P(w, m_1 \# \cdots \# m_i)$
- $m_k$  = accept (and in particular k is odd)

In this case, we write  $(V \leftrightarrow P)(w, r) = \text{accept}$ .

**Definition 26.2:** A polynomial verifier V with bound p is a verifier function that ensures that, for all inputs w, random strings r, and provers P, at most p(|w|) computation steps are performed overall (across all interactions).

**Note:** Polynomial verifiers could, for example, use messages to store the number of available steps that remain, and reject when this is used up.

**Definition 26.3:** A polynomial verifier V with bound p and a prover P accept a word w with probability  $\Pr[V \leftrightarrow P \text{ accepts } w] = \Pr_{r \in \{0,1\}^{p(|w|)}}[(V \leftrightarrow P)(w,r) = \text{accept}].$ 

### The class IP

We can now formally define a class of languages that are accepted by polytime Verifiers using interactive proofs:

**Definition 26.4:** A language L is in IP if there is a polynomial verifier V such that, for every word w:

- (1) if  $w \in \mathbf{L}$  then there is a prover P with  $\Pr[V \leftrightarrow P \text{ accepts } w] \ge \frac{2}{3}$ ,
- (2) if  $w \notin \mathbf{L}$  then for all provers  $\tilde{P}$  we have  $\Pr\left[V \leftrightarrow \tilde{P} \text{ accepts } w\right] \leq \frac{1}{3}$ .

#### In words:

- there is a "good" prover P that can convince V to accept w ∈ L with high probability
   (note that the existence of one good prover for each w ∈ L implies that there is one globally good prover)
- not even a "bad" prover P
   can convince V to accept words w ∉ L with more than a
   low probability

### Probabilistic interactions

### The definition of IP uses probabilistic computations

- The Verifier is a polynomially time-bounded probabilistic TM
- The Prover does not use randomness (and including it would not change IP)
- As discussed for BPP, we can amplify probabilities; in particular, the bounds  $\frac{2}{3}$  and  $\frac{1}{3}$  are not essential to the definition

The use of randomness in the Verifier is important for expressive power:

**Theorem 26.5:** Let  $IP_d$  be the restriction of IP that is obtained when requiring V to be deterministic (ignoring the random bits). Then  $IP_d = NP$ .

The proof is not hard (exercise; or see Arora & Barak, Lemma 8.4)

### Obvious sub-classes of IP

Some observations are straightforward:

**Theorem 26.6:** NP  $\subseteq$  IP.

**Proof:** Use definition of NP via polynomial-time verifiers.

**Theorem 26.7:** BPP  $\subseteq$  IP.

Proof: Verifier can solve BPP problems without talking to Prover.

# A superclass of IP

Interestingly, we can use another well-known class to capture IP from above:

**Theorem 26.8:**  $IP \subseteq PSpace$ .

**Proof:** Consider  $L \in IP$  with polynomial verifier V. For any word w, let

$$\Pr\left[V \text{ accepts } w\right] = \max_{P} \Pr\left[V \leftrightarrow P \text{ accepts } w\right].$$

Then  $\Pr[V \text{ accepts } w] \ge \frac{2}{3} \text{ if } w \in \mathbf{L} \text{ and } \Pr[V \text{ accepts } w] \le \frac{1}{3} \text{ otherwise.}$ 

**Goal:** Compute the value of Pr[V accepts w] in PSpace.

#### **Notation:**

- Let  $M_j$  abbreviate a message sequence  $m_1 \# m_2 \# \cdots \# m_j$
- $(V \leftrightarrow P)(w, r, M_j) = \text{accept if } (V \leftrightarrow P)(w, r) = \text{accept for a message sequence}$  $m_1 \# m_2 \# \cdots \# m_k \text{ that extends } M_j \text{ (in particular: } M_j \text{ is possible with } r, V \text{ and } P)$
- $\Pr\left[(V \leftrightarrow P) \text{ accepts } w \text{ starting from } M_j\right] = \Pr_{r \in \{0,1\}^{p(|w|)}}[(V \leftrightarrow P)(w,r,M_j) = \text{accept}]$
- $\Pr[V \text{ accepts } w \text{ starting from } M_j] = \max_P \Pr[(V \leftrightarrow P) \text{ accepts } w \text{ starting from } M_j]$

### **Theorem 26.8:** $IP \subseteq PSpace$ .

**Proof (cont.):** What we seek is  $Pr[V \text{ accepts } w] = Pr[V \text{ accepts } w \text{ starting from } M_0]$ , where  $M_0$  is the empty message sequence.

We define numbers  $N[M_i]$  recursively, with the longest possible sequences as base case:

- 1. If  $M_j$  is cannot be produced by V for any r (and P), then  $N[M_j] = 0$ .
- 2. Else, if *j* is odd and  $M_i = m_1 \# \cdots \# m_j$ , then
  - 2.1 If  $m_j$  = accept then  $N[M_j] = 1$
  - 2.2 If  $m_j$  = reject then  $N[M_j] = 0$
  - 2.3 If  $m_j \notin \{\text{accept}, \text{reject}\}\ \text{then}\ N[M_j] = \max_{m_{j+1}} N[M_j \# m_{j+1}]$
- 3. Else, if j is even, then  $N[M_j] = \text{wt-avg}_{m_{j+1}} N[M_j \# m_{j+1}]$ where  $\text{wt-avg}_{m_{i+1}} N[M_j \# m_{j+1}] = \sum_{m_{j+1}} \Pr_{r \in \{0,1\}^{p(|w|)}} [V(w,r,M_j) = m_{j+1}] \cdot N[M_j \# m_{j+1}]$

In all cases,  $m_{j+1}$  ranges over (a superset of) the messages possible at this step (which can be assumed to be of polynomial length, and are therefore bounded).

Note 1: Case 2.3 corresponds to best possible answer of any Prover

Note 2: Case 3 corresponds to probability-weighted average for given Verifier

### **Theorem 26.8:** $IP \subseteq PSpace$ .

### **Proof (cont.):** We need to show two claims:

- Claim 1:  $N[M_j] = \Pr \left[ V \text{ accepts } w \text{ starting from } M_j \right]$
- Claim 2:  $N[M_i]$  can be computed in polynomial space

Together, this would show that  $N[M_0] = \Pr[V \text{ accepts } w]$  can be computed in polynomial space.

#### Claim 2 is not hard to see:

- The recursive computation of  $N[M_j]$  is of polynomially bounded depth (longer message sequences are never consistent with a polynomial verifier V)
- Checking consistency with some  $r \in \{0,1\}^{p(|w|)}$  can be done by iterating over these r
- Computing  $\max_{m_{i+1}} N[M_i \# m_{i+1}]$  is similar by iterating over all  $m_{i+1}$
- Computing wt-avg<sub> $m_{j+1}$ </sub> $N[M_j \# m_{j+1}]$  is also similar, using two iterations (over  $m_{j+1}$  and over all r)

### **Theorem 26.8:** $IP \subseteq PSpace$ .

**Proof (cont.):** Claim 1 can be shown by induction.

The base cases are when  $M_j$  is not consistent (impossible message sequence), or already ends in accept or reject. The claim is clear for these cases.

For the induction step, assume the claim holds for all  $N[M_{j+1}]$  (ind. hypothesis, IH)

• For the case  $N[M_j] = \text{wt-avg}_{m_{j+1}} N[M_j \# m_{j+1}]$ , we compute:

$$\begin{split} N[M_j] &\stackrel{\text{def}}{=} \sum_{m_{j+1}} \Pr_{r \in \{0,1\}^{p(|w|)}}[V(w,r,M_j) = m_{j+1}] \cdot N[M_j \# m_{j+1}] \\ &\stackrel{\text{IH}}{=} \sum_{m_{j+1}} \Pr_{r \in \{0,1\}^{p(|w|)}}[V(w,r,M_j) = m_{j+1}] \cdot \Pr\Big[V \text{ accepts } w \text{ starting from } M_j \# m_{j+1}\Big] \\ &= \Pr\Big[V \text{ accepts } w \text{ starting from } M_j\Big] \quad \text{(def. of acceptance probability for steps of } V\text{)} \end{split}$$

### **Theorem 26.8:** $IP \subseteq PSpace$ .

**Proof (cont.):** Claim 1 can be shown by induction.

The base cases are when  $M_j$  is not consistent (impossible message sequence), or already ends in accept or reject. The claim is clear for these cases.

For the induction step, assume the claim holds for all  $N[M_{j+1}]$  (ind. hypothesis, IH)

• For the case  $N[M_j] = \max_{m_{j+1}} N[M_j \# m_{j+1}]$ , we compute:

$$N[M_j] \stackrel{\text{IH}}{=} \max_{m_{j+1}} \Pr\left[V \text{ accepts } w \text{ starting from } M_j \# m_{j+1}\right]$$
  
=  $\Pr\left[V \text{ accepts } w \text{ starting from } M_j\right]$ 

The second equality follows since this probability can be achieved by a Prover that sends the message  $m_{j+1}$  that maximises N[M+j], and no higher probability can be achieved by any other message.

### This finishes the proof of the theorem.

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## The power of the prover

Our definition of IP allows Prover to have unlimited computational power (possibly even uncomputable behaviour).

However, our proof of IP  $\subseteq$  PSpace showed that the optimal Prover output for any given Verifier can be computed in polynomial space, so we get:

**Corollary 26.9:** The class IP remains the same if the Prover is required to compute its responses in polynomial space.

## The power of IP

So far, we know that IP contains NP, BPP, but also **Graph Non-Isomorphism**, which is not known to be in either class.

As we will see, IP can do much more. We start with the following problem:

#### #SAT

Input: A propositional logic formula  $\varphi$ .

Problem: The number of satisfying assign-

ments of  $\varphi$ 

#### Note:

- #SAT is not a decision problem. Let #SAT<sub>D</sub> = {⟨φ, k⟩ | k is the solution of #SAT on φ} be the corresponding decision problem
- Computing #SAT solves propositional satisfiability as well as unsatisfiability.
- Indeed, it is complete for the powerful class #P

# Solving $\#SAT_D$ in IP

### Theorem 26.10: $\#SAT_D \in IP$

We consider a formula  $\varphi$  of size n and with m propositional variables  $x_1, \ldots, x_m$ .

For  $1 \le i \le m$ , let  $f_i : \{0, 1\}^i \to \mathbb{N}$  be the function that maps  $\langle a_1, \dots, a_m \rangle$  to the number of satisfying assignments of  $\varphi$  with  $x_1 = a_1, \dots, x_i = a_i$ .

- Then  $f_0()$  is the solution to **#SAT**
- We find  $f_i(a_1, \ldots, a_i) = f_{i+1}(a_1, \ldots, a_i, 0) + f_{i+1}(a_1, \ldots, a_i, 1)$

# $\#SAT_D \in IP$ : first attempt

### **Protocol:** to check if $\langle \varphi, k \rangle \in \#SAT_D$

- P: send f<sub>0</sub>() to V
- V: check if  $f_0() = k$  and reject if this fails
- For i = 1, ..., m:
  - P: send  $f_i(a_1,\ldots,a_i)$  to V for all  $\langle a_1,\ldots,a_i\rangle \in \{0,1\}^i$
  - *V*: check, for all  $\vec{a} \in \{0, 1\}^{i-1}$ , if  $f_{i-1}(\vec{a}) = f_i(\vec{a}, 0) + f_i(\vec{a}, 1)$ , reject if not
- V: check if, for all  $\langle a_1, \dots, a_m \rangle \in \{0, 1\}^m, f_m(a_1, \dots, a_m) = 1$  if and only if  $\{x_1 \mapsto a_1, \dots, x_m \mapsto a_m\}$  is a satisfying assignment for  $\varphi$ ; accept iff

### This protocol does not show $\#SAT_D \in IP$ :

it requires exponential time to perform exponentially many checks.

### However, the protocol is otherwise correct:

- if k is the correct result, a truthful Prover can convince the Verifier
- if *k* is not correct, not even a mischievous Prover can convince the verifier (exercise: why?)

### Arithmetisation

To reduce the number of messages and checks, we use arithmetisation.

 $\varphi$  is transformed into an arithmetic expression  $\Phi$  by replacing subexpressions:

- $\alpha \wedge \beta$  becomes  $\alpha\beta$
- $\neg \alpha$  becomes  $(1 \alpha)$
- $\alpha \vee \beta$  becomes  $\alpha * \beta = 1 (1 \alpha)(1 \beta)$

#### Some observations:

- $\Phi$  is a multivariate polynomial function over variables  $x_1, \ldots, x_m$
- The degree of  $\Phi$  is bounded by the size n of  $\varphi$
- The value of  $\Phi$  for inputs  $x_i \in \{0, 1\}$  is also in  $\{0, 1\}$ , and corresponds to the valuation of  $\varphi$  on the corresponding truth values
- We can evaluate  $\Phi$  over an arbitrary field

**Example 26.11:** For a prime number p, the algebra of natural numbers  $\{0, 1, \ldots, p-1\}$  and where + and  $\cdot$  are addition and multiplication modulo p is a finite field. This field is denoted GF(p).

### Theorem 26.10: $\#SAT_D \in IP$

**Proof:** By our prior observation, k is a solution to **#SAT** exactly if

$$k = \sum_{a_1 \in \{0,1\}} \dots \sum_{a_m \in \{0,1\}} \Phi(a_1, \dots, a_m)$$
 (1)

The Prover tries to convince the Verifier of this.

We are looking for a protocol to verify this property of a polynomial  $\Phi$ .

#### Initialisation:

The Prover sends a prime number p with  $2^n (<math>n$ : size of  $\varphi$ ). All calculations will be performed in GF(p).

**Note:** The right side of (1) is at most  $2^m \le 2^n$ , so the value is unaffected by this restriction.

The Verifier checks that *p* is really prime (primality is known to be in P)

### Theorem 26.10: $\#SAT_D \in IP$

**Proof (cont.):** Given a multi-variate polynomial  $g(x_1, ..., x_\ell)$ , let  $h(x_1)$  denote the (univariate) polynomial  $\sum_{a_2 \in \{0,1\}} ... \sum_{a_m \in \{0,1\}} g(x_1, a_2, ..., a_\ell)$ .

**Protocol:** to check  $K = \sum_{a_1 \in \{0,1\}} \dots \sum_{a_m \in \{0,1\}} g(a_1, \dots, a_m) \mod p$  for multi-variate polynomial g that has a polynomial-size representation and polynomial degree

- V: if m = 1, verify g(0) + g(1) = K and reject or accept accordingly; if  $m \ge 2$ , ask P to send a polynomial-size representation of  $h(x_1)$
- *P*: send a polynomial  $\tilde{h}(x_1)$  (if *P* is truthful, it sends  $\tilde{h} = h$ )
- V: check if h
  is polynomially sized and of degree ≤ n; check if K = h
  (0) + h
  (1); reject if any of these fail; pick a random b ∈ GF(p) and send b to P
- Recursively use the same protocol to verify  $\tilde{h}(b) = \sum_{a_2 \in \{0,1\}} \dots \sum_{a_m \in \{0,1\}} g(b, a_2, \dots, a_m) \mod p$

### Theorem 26.10: $\#SAT_D \in IP$

**Proof (cont.):** It is not hard to verify that the protocol can be implemented by a polynomial verifier:

- All polynomials are given by polynomial representations and have polynomial degree
- They can therefore be evaluated in polynomial time (using binary encoding of numbers)
- The random number  $b \le p \le 2^{2n}$  consists of 2n random bits
- There are  $\leq m$  recursive applications of the protocol

### Theorem 26.10: $\#SAT_D \in IP$

**Proof (cont.):** If the claim is true, a truthful Prover can ensure that *V* accepts.

If the claim is false, the probability that *V* accepts is very small:

- For m = 1, the probability is 0 (V will just check directly)
- For m>1, P must send some  $\tilde{h}\neq h$  in order to pass the check  $K=\tilde{h}(0)+\tilde{h}(1)$  If V selects b such that  $\tilde{h}(b)=\sum_{a_2\in\{0,1\}}\dots\sum_{a_m\in\{0,1\}}g(b,a_2,\dots,a_m)\mod p$ , then P con continue to play truthfully and V will eventually accept
- Overall, there are m-1 opportunities for P to be lucky in this sense.
- But if  $\tilde{h} \neq h$ , then the chance of a random  $b \in \{0, \dots, p\} \supseteq \{0, \dots, 2^m\}$  to be such that  $\tilde{h}(b) h(b) = 0$  is  $\leq d/2^n$ , where d is the degree of  $\tilde{h} h$  (Schwartz-Zippel Lemma).
- The degree of h and any reasonable  $\tilde{h}$  is bounded by the size n of  $\varphi$  (linear), while  $2^n$  is exponential, hence the success rate is small for sufficiently large  $\varphi$ .
- The overall chance of P tricking V to accept a wrong claim is  $\leq 1 (1 n/2^n)^{m-1}$ , which is  $\leq 1/n$  for  $n \geq 10$ .

### Main result

The main insight about IP is as follows:

Theorem 26.12: IP = PSpace

**Proof:** We have already shown IP  $\subseteq$  PSpace. For the converse, we adopt our proof of  $\#SAT_D \in IP$  to show that  $TrueQBF \in IP$ . This suffices (why?).

Consider a QBF of the form  $\psi = \forall x_1. \exists x_2. \forall x_3. \cdots \exists x_m. \varphi[x_1, \dots, x_n]$  (this is w.l.o.g. – why?).

Using the arithmetisation  $\Psi$  of  $\varphi$ , we find  $\psi \in \mathsf{TrueQBF}$  iff

$$\sum_{a_1 \in \{0,1\}}^* \prod_{a_2 \in \{0,1\}} \sum_{a_3 \in \{0,1\}}^* \cdots \sum_{a_m \in \{0,1\}}^* \Phi(a_1,\ldots,a_m) = 1$$
 (2)

where 
$$\sum_{a \in \{0,1\}}^{*} P(a) = P(0) * P(1) = 1 - (1 - P(0))(1 - P(1)).$$

We would like to verify (2) using similar ideas as for  $\#SAT_D \in IP$ .

# Showing IP = PSpace

We would like to verify (2) using similar ideas as for  $\#SAT_D \in IP$ .

**Problem:** The degree of polynomials such as

$$h(x_1) = \prod_{a_2 \in \{0,1\}} \sum_{a_3 \in \{0,1\}}^* \cdots \sum_{a_m \in \{0,1\}}^* \Phi(x_1, a_2, \dots, a_m)$$
 can be as large as  $2^n \rightarrow$  no polynomial-size description, no polytime evaluation

**Solution:** Reduce degrees of all relevant polynomials in a way that preserves truth values

- Idea: if  $x \in \{0, 1\}$ , then  $x^d = x$  and P(x) = xP(1) + (1 x)P(0)
- We define an operator R with Rx.P(x) = xP(1) + (1-x)P(0)
- Then the degree of x in Rx.P(x) is always 1

We redefine the polynomial we want evaluate as follows:

$$\exists x_1.Rx_1 \forall x_2.Rx_1.Rx_2.\exists x_3.Rx_1.Rx_2.Rx_3.\cdots \exists x_m.Rx_1.\cdots Rx_m.\Phi(x_1,\ldots,x_m)$$

where 
$$\exists x. P(x) = P(0) * P(1)$$
 and  $\forall x. P(x) = P(0) \cdot P(1)$ .

**Note:** This expression is of quadratic size compared to  $\psi$ .

# Showing IP = PSpace

We write  $\exists x_1.Rx_1 \forall x_2.Rx_1.Rx_2.\exists x_3.Rx_1.Rx_2.Rx_3.\dots \exists x_m.Rx_1.\dots Rx_m.\Phi(x_1,\dots,x_m)$  as  $O_1y_1.O_2y_2.\dots.O_ky_k.\Phi(x_1,\dots,x_m)$ , where  $O_i \in \{\exists, \forall, R\}$  and  $y_i \in \{x_1,\dots,x_m\}$ .

Verifier picks a prime  $p > n^4$  (for *n* the size of  $\psi$ ); we calculate in GF(*p*).

**Protocol:** to check  $K = O_1y_1.O_2y_2.\cdots.O_ky_k.g(b_1,\ldots,b_\ell) \mod p$  where  $O_1y_1.O_2y_2.\cdots.O_ky_k.g$  is a polynomial in  $\ell$  variables that has a polynomial-size representation and polynomial degree

- V: if k = 0, verify  $g(b_1, \dots, b_\ell) = K$  and reject or accept accordingly; else, ask P for a representation of  $O_2y_2 \cdots O_ky_k \cdot g(b_1, \dots, b_\ell)[y_1 \mapsto \text{undef}]$
- P: send a polynomial  $\tilde{h}(y_1)$
- V: check if  $\tilde{h}$  is polynomially sized and of degree  $\leq m$ ; check if  $K = O_1 y_1.\tilde{h}(y_1)$ ; reject if any of these fail; pick a random  $b \in \mathsf{GF}(p)$  and send  $b \in P$
- Recursively use the same protocol to verify  $\tilde{h}(b) = O_2 y_2 \cdot \cdots \cdot O_k y_k \cdot g(b_1, \dots, b_\ell)[y_1 \mapsto b] \mod p$

# **Explanations**

### The following notes may help to understand the protocol.

- The function  $O_1y_1.....O_ky_k.g$  is a function on variables  $x_1,...,x_\ell$ 
  - Variables  $x_i$  ( $i > \ell$ ) are bound by  $\exists$  or  $\forall$ , hence eliminated
  - Variables  $x_i$  ( $i \le \ell$ ) may still occur in R operators, but they do not remove them
- $O_2y_2.....O_ky_k.g$  is a function on variables  $x_1,...,x_\ell,x_{\ell+1}$  if  $O_1 \in \{\exists,\forall\}$
- $O_2y_2.....O_ky_k.g$  is a function on variables  $x_1,...,x_\ell$  if  $O_1=R$
- $O_2y_2.\cdots.O_ky_k.g(b_1,\ldots,b_\ell)[y_1\mapsto \text{undef}]$  denotes the function over  $y_1$  obtained by ignoring the binding  $b_i$  for  $y_1=x_i$  (only relevant if  $O_1=R$ )
- $O_2y_2.\cdots.O_ky_k.g(b_1,\ldots,b_\ell)[y_1\mapsto b]$  denotes the function over  $y_1$  obtained by redefining the binding  $b_i$  for  $y_1=x_i$  to be b (only relevant if  $O_1=R$ )
- The check  $K = O_1 y_1 . \tilde{h}(y_1)$  is evaluated as required for  $O_1$

# Finishing the proof

### Theorem 26.12: IP = PSpace

### **Proof:** Summary of approach:

- The problem is arithmetised and extended with degree-reduction operators
- A prime  $p > n^4$  is chosen to define a filed GF(p) for calculations
- A protocol is followed to verify the arithmetisation yields 1 =

As in the case of  $\#SAT_D$ , the Prover's chances of fooling the Verifier are small:

- Wrong claims require to send wrong polynomials  $\tilde{h}(y_1)$
- It is unlikely that V picks a random value b on which  $\tilde{h}(p)$  agrees with the correct function's value  $(p > n^4)$  suffices here since the degree of the functions are small)

This finishes the proof.

# Summary and Outlook

Interactive proofs enable probabilistic machines to solve problems beyond NP

GRAPH Non-Isomorphism has an interesting interactive zero-knowledge proof protocol

IP = PSpace

#### What's next?

- Summary & consultation
- Examinations